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01/16/01

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EL234123066US

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**PATENT APPLICATION TRANSMITTAL LETTER**  
(Small Entity)

Docket No.  
CAPTNE/P002A1

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

**MARK ONTIVEROS and MICHAEL H. NADLER**

For:

**"Method and Device for Monitoring Data Traffic and Preventing Unauthorized Access to a Network"**

Enclosed are:

- ☒ Certificate of Mailing with Express Mail Mailing Label No. **EL234123066US**  
☒ **9** sheets of drawings.  
☐ A certified copy of a \_\_\_\_\_ application.  
☒ Declaration ☒ Signed. ☐ Unsigned.  
☒ Power of Attorney  
☐ Information Disclosure Statement  
☐ Preliminary Amendment  
☐ \_\_\_\_\_ Verified Statement(s) to Establish Small Entity Status Under 37 C.F.R. 1.9 and 1.27.  
☒ Other: **See additional page**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	20	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	5	- 3 =	2	x \$39.00	\$78.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$345.00
TOTAL FILING FEE					\$423.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.  
☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **500287**  
as described below. A duplicate copy of this sheet is enclosed.  
☒ Charge the amount of **\$423.00** as filing fee.  
☒ Credit any overpayment.  
☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.  
☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance,  
pursuant to 37 C.F.R. 1.311(b).

Dated: **January 16, 2001**

  
Signature

CC:

Docket No. CAPTNE/P002A1

PATENT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

jc966 U.S. PTO  
09/761499  
01/18/01

In re application of: MARK ONTIVEROS  
MICHAEL H. NADLER

Serial No.: Not Yet Assigned  
Filed: Concurrently Herewith  
For: "METHOD AND DEVICE FOR MONITORING DATA  
TRAFFIC AND PREVENTING UNAUTHORIZED ACCESS TO  
A NETWORK"

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**ADDITIONAL PAGE FOR PATENT APPLICATION TRANSMITTAL LETTER  
(Small Entity)**

"Express Mail Label number EL234123066US  
Date of Deposit: January 16, 2001

1. Recordation Form Cover Sheet (1 page);
2. Assignment (2 pages);
3. Statement Under 37 CFR 3.73(b) (1 page);
4. Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) (1 page); and
5. Statement Claiming Small Entity Status (37 CFR 1.9(f) & 1.27(c)) -- Small Business Concern (1 page).

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: MARK ONTIVEROS  
MICHAEL H. NADLER



Serial No.: Not Yet Assigned  
Filed: Concurrently Herewith  
For: "METHOD AND DEVICE FOR MONITORING DATA  
TRAFFIC AND PREVENTING UNAUTHORIZED ACCESS TO  
A NETWORK"

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL234123006US  
Date of Deposit January 16, 2001

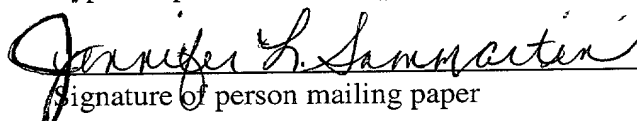
I hereby state the following papers are attached hereto:

Patent Application Transmittal Letter (Small Entity) (1 page), U.S. Patent Application entitled "METHOD AND DEVICE FOR MONITORING DATA TRAFFIC AND PREVENTING UNAUTHORIZED ACCESS TO A NETWORK", comprising a specification (17 pages), claims (3 pages), Abstract (1 page), informal drawings (9 sheets), Declaration and Power of Attorney (3 pages), Recordation Form Cover Sheet (1 page), Assignment (2 pages), Statement Under 37 CFR 3.73(b) (1 page), Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) (1 page) and Statement Claiming Small Entity Status (37 CFR 1.9(f) & 1.27(c))--Small Business Concern (1 page).

which are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Jennifer L. Sammartin

Typed or printed name of person mailing paper

  
Signature of person mailing paper